DAKOTA
WEALTH MANAGEMENT

The following questionnaire was designed to efficiently gather the information required to start your onboarding process with DAKOTA WEALTH.

PERSONAL DATA

Spouse #1 Legal Name (First, Middle, Last):_____ Nick-name:_____

Spouse #2 Legal Name (First, Middle, Last):_____ Nick-name:_____

Marital Status: ◯ Married ◯ Single ◯ Widowed ◯ Divorced U.S. Citizens?: ◯ Yes ◯ No

Spouse #1 DOB: (MM/DD/YYYY): _____ SSN/Tax ID#: _____ Drivers License No./State:_____/_____ Birth State:_____

Spouse #2 DOB: (MM/DD/YYYY): _____ SSN/Tax ID#: _____ Drivers License No./State:_____/_____ Birth State:_____

ADDRESS/PHONE/EMAIL

Spouse #1 Cell Phone:_____ Email (Preferred):_____ Email (Alternate):_____

Spouse #2 Cell Phone:_____ Email (Preferred):_____ Email (Alternate):_____

Legal Address:_____ City:_____ State:_____ Zip:_____

Mailing Address :_____ City:_____ State:_____ Zip:_____

◯ Check if mailing address is the same as legal address

LEGAL DOCUMENTS

Spouse #1 Will? ◯ Yes ◯ No Power of Attorney? ◯ Yes ◯ No Healthcare POA? ◯ Yes ◯ No Living Will? ◯ Yes ◯ No

Spouse #2 Will? ◯ Yes ◯ No Power of Attorney? ◯ Yes ◯ No Healthcare POA? ◯ Yes ◯ No Living Will? ◯ Yes ◯ No

DAKOTA
WEALTH MANAGEMENT

EMPLOYMENT

Spouse #1 ◯ Business Owner ◯ Employed ◯ Homemaker ◯ Retired ◯ Student ◯ Not Employed

Employer:_____ Job Title:_____

Employer Address:_____ City:_____ State:_____ Zip:_____

Retirement Year:_____

Spouse #2 ◯ Business Owner ◯ Employed ◯ Homemaker ◯ Retired ◯ Student ◯ Not Employed

Employer:_____ Job Title:_____

Employer Address:_____ City:_____ State:_____ Zip:_____

Retirement Year:_____

FINANCIALS

Spouse #1 Annual Income:_____ Net Worth: _____ Liquid Net Worth: _____

Spouse #2 Annual Income:_____ Net Worth: _____ Liquid Net Worth: _____

TRUSTED CONTACT*

Spouse #1 Name:_____ Relationship:_____ Phone:_____

Email:_____ Address: _____ City:_____ State:_____ Zip:_____

Spouse #2 Name:_____ Relationship:_____ Phone:_____

Email:_____ Address: _____ City:_____ State:_____ Zip:_____

**A TRUSTED CONTACT is a person you would like us to notify if there are concerns about diminished capacity or suspicion that you're being financially exploited.*

DAKOTA
WEALTH MANAGEMENT

BENEFICIARIES/DEPENDENTS

Spouse #1 ◯ Primary ◯ Contingent

Legal Name:_____ DOB: (MM/DD/YYYY): _____ SSN/Tax ID#: _____

Relationship:_____ Percentage:_____ *Per Stirpes?: ◯ Yes ◯ No

Spouse #1 ◯ Primary ◯ Contingent

Legal Name:_____ DOB: (MM/DD/YYYY): _____ SSN/Tax ID#: _____

Relationship:_____ Percentage:_____ *Per Stirpes?: ◯ Yes ◯ No

Spouse #2 ◯ Primary ◯ Contingent

Legal Name:_____ DOB: (MM/DD/YYYY): _____ SSN/Tax ID#: _____

Relationship:_____ Percentage:_____ *Per Stirpes?: ◯ Yes ◯ No

Spouse #2 ◯ Primary ◯ Contingent

Legal Name:_____ DOB: (MM/DD/YYYY): _____ SSN/Tax ID#: _____

Relationship:_____ Percentage:_____ *Per Stirpes?: ◯ Yes ◯ No

**Electing PER STIRPES indicates that if your specified beneficiary(s) predeceases you, his/her share of the account will pass through to his/her dependent(s).*
***Need more room? Please list additional beneficiary(s) on a separate sheet of paper providing the same information as above.*

WHO CAN WE THANK FOR INTRODUCING YOU TO DAKOTA WEALTH?

_____

5010 Ritter Road | Ste 101 | Mechanicsburg | PA 17055
717.214.1925

Dakota Wealth, LLC ("Dakota Wealth") is a registered investment advisor. Advisory services are only offered to clients or prospective clients where Dakota Wealth and its representatives are properly licensed or exempt from licensure. For current Dakota Wealth information, please visit the Investment Adviser Public Disclosure website at **www.adviserinfo.sec.gov** by searching with Dakota Wealth's CRD #297987. For additional information, please visit our website at **www.dakotawm.com**.